

Regulatory & Audit Committee Forward Plan – Forward Plan

Date of meeting	Items ^ = to OCB	Report Writer
15 June 2017 PART 2 ITEMS	1. Y/E Treasury Management Update 2. Contract Standing Orders - Exemptions/Breaches ^ 3. Whistleblowing Policy - incidents and effectiveness ^ 4. 17/18 Draft Business Assurance Strategy (incl. Internal Audit Plan) 5. Limited Assurance Internal Audit Reports 6. Buckinghamshire Care Update 1. Transition Plan 2. Contract Management	1. Julie Edwards 2. TBC 3. Anne Nicholls 4. Maggie Gibb 5. Maggie Gibb 6. Maggie Gibb/Lee Fermandel
26 July 2017 PART 2 ITEMS	1. Audit of Accounts ^ 2. Draft Annual Governance Statement ^ 3. Annual Report of Chief Auditor ^ 4. Business Assurance Update and Audit Action Tracker 5. Contract Management Update (including CMA) ^ 6. Effectiveness of Debt Management Strategy ^ 7. Mandatory Training Compliance Update^ 8. Petitions Process Review (Scope)^ 9. Risk Management Group update 10. Forward Plan 11. Limited Assurance Internal Audit Reports^ 12. Fraud Update (via Statutory Officers Group) 13. Private session with Chief Auditor 14. Private Session with Grant Thornton	1. Richard Ambrose 2. Richard Ambrose 3. Maggie Gibb 4. Maggid Gibb 5. TBC 6. TBC 7. Caroline High 8. Clare Capjon 9. Maggie Gibb 10. Rachel Bennett 11. Maggie Gibb 12. TBC 13. Maggie Gibb 14. TBC
6 Sept 2017	1. Business Continuity Management ^ 2. Business Assurance Update and Audit Action Tracker 3. Operating Framework Update ^ (MG)	1. Andy Fyfe 2. Maggie Gibb 3. Maggie Gibb

Regulatory & Audit Committee Forward Plan – Forward Plan

	<ol style="list-style-type: none"> 4. Business Assurance and Risk Strategy^ (MG) 5. Anti-Fraud and Corruption Strategy and Money Laundering Policy ^ (MG) 6. Whistleblowing Policy (Ann Nicholls) 7. Risk Management Group Update 8. Feedback and Complaints <ul style="list-style-type: none"> o Annual Report – Corporate Complaints o Annual Report – Adult Social Care o Annual Report – Children’s Social Care 9. Limited Assurance Internal Audit Reports^ 10. Fraud Update (via Statutory Officers Group) 	<ol style="list-style-type: none"> 4. Maggie Gibb 5. Maggie Gibb 6. Anne Nicholls 7. Maggie Gibb 8. Carolyn Scholes 9. Maggie Gibb 10. TBC
8 Nov 2017	<ol style="list-style-type: none"> 1. Treasury Management Update (JE) 2. Business Assurance Update and Audit Action Tracker 3. Appointment of External Auditors (RS) 4. Annual Enforcement C&YP (Protection from Tobacco) 5. Report of Chief Surveillance Commissioner) 6. Annual Governance Statement Action Plan 7. Risk Management Group Update 8. Limited Assurance Internal Audit Reports^ 9. Fraud Update (via Statutory Officers Group) 	<ol style="list-style-type: none"> 1. Julie Edwards 2. Maggie Gibb 3. Richard Schmidt 4. TBC 5. TBC 6. Maggie Gibb 7. Maggie Gibb 8. Maggie Gibb 9. TBC
Jan 18	<ol style="list-style-type: none"> 1. External Auditors Audit Plan 2. Quality Assurance Framework 3. Risk Management Group Update 4. Limited Assurance Internal Audit Reports^ 5. Fraud Update (via Statutory Officers Group) 	<ol style="list-style-type: none"> 1. Maggie Gibb 2. Maggie Gibb 3. Maggie Gibb 4. Maggie Gibb 5. TBC

Regulatory & Audit Committee Forward Plan – Forward Plan

PAST MEETINGS	
<p>24 May 2017</p> <p>PART 2 ITEMS</p>	<ul style="list-style-type: none"> • Draft Statement of Accounts ^ • Grant Thornton Audit Plan • Grant Thornton Pension Fund Audit Plan • Business Assurance Update ^ • Risk Management Group update • Forward Plan • Limited Assurance Internal Audit Reports ^ • Fraud Update (via Statutory Officers Group)
<p>26 April 2017</p> <p>PART 2 ITEMS</p> <p>MEETING CANCELLED</p>	<ul style="list-style-type: none"> • Contract Standing Orders - Exemptions/Breaches ^ - JUNE • Business Continuity Management ^- SEPT • Whistleblowing Policy - incidents and effectiveness ^ - JUNE • Outside Bodies Update ^- TBC • 17/18 Draft Business Assurance Strategy (incl. Internal Audit Plan) ^- JUNE • Business Assurance Update and Audit Action Tracker - JULY • Inspection RIPA Covert Surveillance Inspection ^- TBC • Grant Thornton Audit Plan ^- MAY • Grant Thornton Pension Fund Audit Plan ^ - MAY • Mandatory Training - Compliance Update ^- JULY • Assurance and Risk Strategy ^ - SEPT • Risk Management Group Update - JULY • Forward Plan • Limited Assurance Internal Audit Reports ^ • Fraud Update (via Statutory Officers Group) - DELETE • Buckinghamshire Care Update

Regulatory & Audit Committee Forward Plan – Forward Plan

8 February 2017	<ul style="list-style-type: none"> • Business Assurance Update and Audit Action Tracker (to Audit Board) • Treasury Management Annual Strategy ^ • Effectiveness of Debt Management Strategy ^ • Business Continuity Management Update ^- APRIL MEETING • Contract Management Application Performance Report ^ • Limited Assurance Internal Audit Reports ^ - NONE • Fraud Update (to Statutory Officers) – APRIL MEETING • TfB ^
3 January 2017	<ul style="list-style-type: none"> • Business Assurance Update and Audit Action Tracker (to Audit Board) • Annual Report on Feedback and Complaints Procedure ^ • Hearing the Customer’s View – Annual Report Children and Young People’s Social Care ^ • Adult’s Social Care Complaints Procedure, Making Experiences Count ^ • Vexatious Complaints (TBC) • Business Assurance and Risk Strategy ^- APRIL MEETING • Risk Management Group Update • Forward Plan • Limited Assurance Internal Audit Reports ^ • Fraud Update (to Statutory Officers) • Buckinghamshire Care ^
9 November 2016	<ul style="list-style-type: none"> • External Audit Appointment • Treasury Management Update • Contract Standing Orders – Breaches/Exemptions • Annual Enforcement C&YP (Protection from Tobacco) • Report of Chief Surveillance Commissioner • Risk Management Group Update • Annual Governance Statement Action Plan

Regulatory & Audit Committee Forward Plan – Forward Plan

	<ul style="list-style-type: none">• Public Sector Internal Audit Standards• Forward Plan
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Regulatory & Audit Committee Forward Plan – Forward Plan

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21 September 2016	<ul style="list-style-type: none">• Future External Audit Arrangements• Business Assurance Update and Audit Action Tracker• Mandatory Training - Compliance Update• Anti-Fraud & Corruption Strategy and Money Laundering Policy• Special Education Needs Update• Bucks Learning Trust Update• Transport for Bucks Update• Forward Plan
28 July 2016	<ul style="list-style-type: none">• Audit of Accounts by end of July• Final Annual Governance Statement• Business Assurance Update and Audit Action Tracker• Effectiveness of Debt Management Strategy• Business Continuity Management Update• Risk Management Group update• Forward Plan• Private session with Chief Auditor• Private Session with Grant Thornton

Regulatory & Audit Committee Forward Plan – Forward Plan

Date of meeting	Items
25 May 2016	<ul style="list-style-type: none">• Statement of Accounts by end of May• Annual Governance Statement• Annual Report of Chief Auditor• Treasury Management Update• Risk Management Group update• Forward Plan
27 April 2016	<ul style="list-style-type: none">• Contract Standing Orders - Exemptions/Breaches• Contract Management Application Update• Whistleblowing Policy - incidents and effectiveness• Outside Bodies Update• 16/17 Draft Business Assurance Strategy (incl. Internal Audit Plan)• Business Assurance Update and Audit Action Tracker• Inspection RIPA Covert Surveillance Inspection• Pension Fund Audit Plan• Bucks Learning Trust Update• Future External Audit Arrangements• Risk Management Group Update• Forward Plan